#### STANDARD OPERATING PROCEDURE (SOP) RESEARCH SECTION

#### 1. **Registration of existing scholars for the next semester**

Action	Time line
Issue of notice/office order after signature of AR	
Issue of notice/office order to the candidate for payment of fees	
Payment of fees by the candidates	5 7 dava
Submission of the receipt by the candidates in the Department	5-7 days
Submission of the consolidated report by every Department	
Consolidation of the fee receipt of all the Departments	
Submission of consolidated report to the accounts section	

# 2. Issuing of various bonafide and NOC to scholars for bank account, LPG connection, Vehicle registration, for applying for conference/funds, for passport etc

Action	Time line
Receipt of application for the certificate	
Verifying details of the application with supporting documents	
Preparation of the certificate	
Getting signature of the AR	1-2 days
Registration of certificate number	
Issue of certificate to the concerned scholar after getting his/her receipt	
Filing of the paper in the concerned scholar's document	

#### **3.** Maintaining of statistical data of scholars

Action	Time line
Concurrent updatation of the data in the soft format	Instant
	updation of
	data on
	occurrence of
	event

#### 4. Issuing of various circulars/office orders regarding admissibility of the HRA

Action	Time line
Receipt of application for HRA	
Verifying the data from the documents	
Forwarding the application to the AR for perusal and recommendation	1.0 days
Taking approval of the same from the Dean	1-2 days
Preparation of the draft order/circular and signature of AR	
Issuing of the Office Order for admissibility of the HRA	
Forwarding of the office order to the concerned Dept for action	

#### 5. **Refund of securities to outgoing students**

Action	Time line
Receipt of the of NDC dully signed by all	
Making annotation in the register for refund of securities	
Preparation of the note and signature of AR	7-10 days
Taking approval of the Dean	
Forwarding of note and register to the audit section for audit	

Forwarding of the same to accounts for payment	
Maintenance of the record	

#### 6. Enhancement of fellowship after two years

Action	Time line
Receipt of the Comprehensive examination (Thesis proposal seminar)	
Verify the records of the student	
Getting approval of the same from the Dean through AR	
Preparation of the office order	1-2 days
Taking signature of the AR	
Forwarding of the order to the concerned Department for action	
Maintenance of the record and filing of the papers in the concerned file	

## 7. Dealing with Director's fellowship

Action	Time line
Receipt of the application after approval of the Director	
Verify the records of the student	
Preparation of letter for offer of Director's fellowship to the scholar	
Getting signature of the AR	
Sending the letter to the concerned scholar for acceptance and submission of the	1.2 days
joining report through channel	1-2 days
Receipt of the joining letter from the concerned Department	
Preparation of the office order	
Getting signature of the AR	
Forwarding of the office order to all concerned	

### 8. Conference/Workshop/seminars/short courses by scholars

Action	Time line
Receipt of the application	
Verify the records of the student	
Making Annotation in the marked space regarding conferences/workshops/seminars	
already attended	
Getting signature of the AR	5-7 days
Sending it to the accounts for commitment of the fund	
Getting approval of the Dean(Research)	
Forwarding of the approved application to the accounts for the payment	
Forwarding scanned copy of the approved application to the concerned scholar	
Filing of the paper in the concerned file	

### 9. **RPEC Meeting**

Action	Time line
Compilation of the agenda	
Getting perusal of the AR	
Forwarding of the agenda to the (Research) for approval	57 dama
Arranging the RPEC meeting	5-7 days
Noting down comments of the members for preparation of the minutes of RPEC	
Getting perusal of the AR and Dean (Research)	
Circulating the MoMs.	

#### 10. Senate meeting

Action	Time line
Compilation of the agenda	
Getting perusal of the AR	
Forwarding of the agenda to the Academic Section after approval of the Dean	
Attending the Senate meeting to note down comments of the members for preparation	5-7 days
of the minutes of RPEC	
Preparation of the Senate minutes	
Getting perusal of the Dean	
Forwarding of the minutes to the Academic after approval of the Dean	

### 11. Conversion of Fellowship from Institute to project and vice-versa

Action	Time line
Receipt of the application from the scholar for conversion	
Verify the records of the student	
Put up a note for approval through AR	
Preparation of the office order	2-3 days
Getting signature of the AR	
Forwarding of the office order to all concerned	
Filing of papers in the concerned file	

#### 12. Conversion of Ph.D. course from full-time to part-time

Action	Time line
Receipt of the application from the scholar for conversion duly recommended by the	
DC	
Verify the records of the application	
	2-3 days
Putting up a note for signature of AR and Dean	•
On approval issue of office order after signature of the AR	
Dissemination of the office order to all concerned	
Filling the paper in the relevant file	

#### 13. PhD Admission advertisement:

Action	Time line
Seeking approval for advertisement and its financial sanction	
Floating of Request For Proposal to the National dailies for advertisement	
Receipt and scrutiny of the proposals from the National dailies	5-7 days
Preparation of the Comparative Statement of Tenders and its approval through AR(R)	
Order for publication of the advertisement	

### 14. Estimating the seats for PhD admissions and marking the same as per reservation in vogue

Action	Time line
Request is sent to Head of the departments for vacancy (Category wise) after seeking	
approval	
Receipt of vacancies from the various Departments	5-7 days
Preparation Vacancy details (category wise) department wise	
Taking approval of the Competent Authority w.r.t department wise vacancy	
recommended by respective departments	

#### 15. Issue of call letter to the shortlisted candidates

Action	Time line
Receipt of files from various Departments	
Scrutiny of the files	2.2 dava
Approval from authority	2-3 days
Preparation of call letters to the candidates as per category and mode of Ph.D. course	
Issuance of call letters after Signatures of AR	

#### 16. Issuing the offer letter

Action	Time line
Receipt of the file	
Scrutiny of the files	2.2 days
Approval from authority	2-3 days
Preparation of offer letter to the selected candidates	
Issue of offer letter to the selected candidates after Signatures of AR	

#### 17. Collection of fees and reconciliation with the receipts

Action	Time line
Prescribing the fees and due dates	
Checking the deposit of fees through NET confirmation to the candidate about the	5-7 days
receipt	_
Consolidated receipt to the accounts through AR	

#### 18. Scrutiny of the testimonials of the candidates

Action	Time line
At the time of Registration documents verification done of the selected candidates	on the day of
Report is prepared that no. of students registered for PhD prog. On respective date	registration
Email to concerned department regarding their joining	

# **19.** Collection of data for courses to be floated by the Departments and preparation of list for website display.

Action	Time line
Email to RPEC members for providing Courses to be floated in next semester	5-7 days
Combining of all the courses to be floated for next and upload on the website and	J-7 days
broadcast among faculty/ PhD scholars	

# 20. Receipt of forms from New scholars joined Doctoral Committee, Course registration, Joining Reports, honor code

Action	Time line
Receipt of Form DC Course registration, joining report and honor code	
To check the form duly signed by the doctoral committee or not and correct the	2-3 days
incomplete form	
Approval of The Dean R	

#### 21. Preparation of course roll list for exams

Action	Time line
Receipt of course registration form which should be duly signed by DC, RPEC and	
HoD	2.2 down
Approval of Dean Research	2-3 days
Preparation of Roll list form exams	

Checking of Roll List
After preparation of roll list and approval of AR the same is forwarded to Academic
and IT section for further need at the end

### 22. Assigning mid/end semester exam duties to research scholars

Action	Time line
Receipt of Email from Academic section for assigning invigilator duty to research	
scholars	
Checking and preparation for allotting invigilation duty to the scholars	1-2 days
Assigning of Duty	
After assigning duty to research scholars email to Academic section for further needful	
at their end	

#### 23. Preparation of semester grade sheet

Action	Time line
Faculty advisor should submit the result online and submit the duly signed hard copy	
in research section	
IT section prepare the result	2.2 dava
Result prepared by IT Section is checked	2-3 days
Printing of Grades sheets after which AR(Res.) sign the Grades	
Grades sheet should be forwarded to the respective department for circulating to the	
respective scholars	

# 24. Preparation of monthly scholarship of scholars being sponsored by the CSIR/UGC (online portal)

Action	Time line
Email to UGC scholars for submitting Continuation certificate	
After receiving of continuation certificate of scholars documents uploaded on website	2-3 days
before 15 of every month	
Approval of the checker email ID by AR	

#### 25. Pprovisional degree, transcripts, thesis submission certificate

Action	Time line
Request letter received from department for issuing provisional degree/ Thesis	
submission certificate	
Note should be put for approval of issuing provisional degree to Chairman Senate and	1-2 days
Chairman BoG	
After approval certificate is prepared which duly examined by SA and AR (Res.) and	
then certificates is given to scholar after signature of AR	

#### 26. Certificate verification on receipt of requisition from the external agencies

Action	Time line
Letter received from external agencies for verification of academic record of students	
If they have deposited the verification fees of Rs. 1000/- then verification is processes	
immediately otherwiseletter should be posted to their address for submitting education	1.2 days
verification fee of the concerned person	1-2 days
After receipt of fees of Rs.1000/- academic record of student is checked from his	
personal maintained by research section and duly cross checked by SA Res. Then	
verified documents posted to respective external agencies after approval of AR.	

# 27. Scrutiny of records and preparation of utilization certificates for DST Inspire fellows.

Action	Time line
Receipt of Application/letter of the scholar for preparation of UC	
Fellowship record is called form respective department regarding his/her fellowship	
bifurcation	2.2 dava
After preparation of UC by research the same is forwarded to Accounts section for	2-3 days
verification	
After verification of UC the same is Forwarded for signing of authorities	
After signing of the authorities the prepared and signed UC is handed over to scholar	

#### 28. Issuing of various circulars/office orders related to PhD programme

Action	Time line
Receipt of application related to change of fellowship	
Note put-up to AR for approval of Dean	1-2 days
If same is approved the office order is prepared and circulated among the concerned	
faculty and scholar	

# 29. Maintaining of statistical data of PhD scholars and filing of the papers in the concerned file of the student.

Action	Time line
Maintaining of statistical data of PhD scholars	Instant
	updation of
	data on
	occurrence of
	event

#### **30.** Reply given to RTI

Action	Time line
Receipt of RTI form RTI cell	
Preparation of reply for RTI if any information needs to be collected from the	
respective department it may be forwarded to respective departments	3-5 days
After preparation RTI reply the same is approved by Dean R	
After approval the same is forwarded to RTI cell	

#### 31. Submission of Synopsis, thesis and processing of thesis

Action	Time line
PhD Scholars submits their synopsis	
Receipt of PhD thesis along with list of examiners for thesis evaluation (Indian and	
Foreign)	
After approval of Dean, Research office contacts with examiners and on receipt of	
consent from the examiner we send the thesis for evaluation	
On receipt of the thesis reports a email send to the Doctoral Committee for their	
recommendation for conduct of oral examination.	30-45 days
On receipt of the recommendations of DC, Research office issues a letter for	
conducting the oral exam of the scholar to the supervisor	
Provisional degree is issued on the request of the student forwarded through supervisor	
and HoD after the approval of Chairperson Senate and BoG.	
The name of the scholars who successfully defended the thesis was put in senate and	
BoG for the award of final degree.	